



02-03-03

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/001,494
	Filing Date	October 31, 2001
	First Named Inventor	Roberto A. Capodiecì
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	12598US03

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee-Attached <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	McAndrews Held & Malloy, Ltd.
Signature	<i>Joseph M. Balich</i>
Date	January 31, 2003

EXPRESS MAIL MAILING NO. EL 848999225 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 31, 2003.

Name (Print/type)	Joseph M. Balich	Registration No. (Attorney/Agent)	42,291
Signature	<i>Joseph M. Balich</i>	Date	January 31, 2003

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PTO FORM 17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL for FY 2003 Patent Fees are subject to annual revision.		Complete if Known			
		Application Number	10/001,494		
		Filing Date	December 31, 2001		
		First Named Inventor	Robert A. Capodiecici		
		Examiner Name	Unassigned		
		Group Art Unit	Unassigned		
TOTAL AMOUNT OF PAYMENT		(\$)	642.00	Attorney Docket No.	12598US03

METHOD OF PAYMENT		FEE CALCULATION (continued)					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES					
Deposit Account Number	13-0017	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	McAndrews Held & Malloy	1051	130	2051	65	Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		1053	130	1053	130	Non-English specification	
FEE CALCULATION		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1. BASIC FILING FEE		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Large Entity Small Entity		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375			Extension for reply within first month	
1002	330	2002	165			Extension for reply within second month	
1003	520	2003	260			Extension for reply within third month	
1004	750	2004	375			Extension for reply within fourth month	
1005	160	2005	80			Extension for reply within fifth month	
SUBTOTAL (1) (\$)							
2. EXTRA CLAIM FEES		1251	110	2251	55	Notice of Appeal	
Previously Paid		1252	410	2252	205	Filing a brief in support of an appeal	
Extra Claims This Amendment		1253	930	2253	465	Request for oral hearing	
Total Claims	23 - 20** = 3	1254	1,450	2254	725	Petition to institute a public use proceeding	
Independent Claims	10 - 3** = 7	1255	1,970	2255	985	Petition to revive - unavoidable	
Multiple Dependent		1401	320	2401	160	Petition to revive - unintentional	
Large Entity Small Entity		1402	320	2402	160	Utility issue fee (or reissue)	
Fee Code	Fee (\$)	1403	280	2403	140	Design issue fee	
1202	18	1451	1,510	2451	1510	Plant issue fee	
1201	84	1452	110	2452	55	Petitions to the Commissioner	
1203	280	1453	1,300	2453	650	Processing fee under 37 CFR 1.17(q)	
1204	84	1501	1,300	2501	650	Submission of Information Disclosure Stmt	
1205	18	1502	470	2502	235	Recording each patent assignment per property (times number of properties)	
SUBTOTAL (2) (\$)				642.00		Filing a submission after final rejection (37 CFR § 1.129(a))	
**or number previously paid, if greater; For Reissues, see above		1503	610	2503	315	For each additional invention to be examined (37 CFR 1.129(b))	
		1504	130	2504	130	Request for Continued Examination (RCE)	
		1507	50	2507	50	Request for expedited examination of a design application	
		1806	180	2506	180		
		8021	40	8021	40		
		1809	750	2809	375		
		1810	750	2810	375		
		1801	750	2801	375		
		1802	900	2802	900		
		Other fee (specify) _____					
		SUBTOTAL (3) (\$)					

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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Joseph M. Barich	Registration No. (Attorney or Agent)	42,291	Telephone	312/775-8000
Signature	<i>Joseph M. Barich</i>	Date	January 31, 2003		

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UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12598US03)

10/15
DP
1/16/3

In the Application of:

Roberto A. Capodiec

Serial No. **10/001,494**

Filed: **October 31, 2001**

For: **Ultrasonically Activated
Continuous Slitter
Apparatus and Method**

Examiner: **Unassigned**

Group Art Unit: **1761**

EL 848999225 US
EXPRESS MAIL-NO.

January 31, 2003
DATE

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Examiner:

Prior to examination of the present application, please enter the following

Preliminary Amendment.

02/05/2003 DEMHANU1 00000073 10001494

01 FC:1201 588.00 DP
02 FC:1202 54.00 DP

04/16/2003 DFLOYD 00000001 130017 10001494

01 FC:1201 34.00 CH

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